Signature

2856:IFW

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE eperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/905,698 Filing Date TRANSMITTAL 07/10/2001 First Named Inventor **FORM** Richard R. Dickson ET AL. (to be used for all correspondence after initial filing) Art Unit 2856 Examiner Name Nashmiya Saqib Fayyaz Attorney Docket Number 00-714 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance communication **Assignment Papers** Fee Transmittal Form to Technology Center (TC) (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to TC Licensing-related Papers Amendment/Reply (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosures(s) (please Extension of Time Request Identify below): Change of Correspondence Address **Express Abandonment Request** Terminal Disclaimer Х Request for Refund Information Disclosure Statement Certified Copy of Priority CD, Number of CD(s) _____ **Documents** Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Jeff A. Greene, Registration No. 45,756 or Individual name Signature 1/y 1, 2004 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: 07/01/2004 Typed or printed name

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of) Group Art Unit: 2856
RICHARD R. DICKSON, ET AL.) Examiner: Nashmiya Saqib Fayyaz
Application Number: 09/905,698) Paper No.: 13
Filed: July 10, 2001)
Title: EXHAUST GAS PARTICULATE MEASURING SYSTEM)))
Attorney Docket No.: 00-714	_)
	Peoria, Illinois 61629-6490
	July 1, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

The following information and attached material are submitted for the Examiner's consideration relative to one or more of the claims in the above-identified application. A PTO 1449 (SB08) form and a copy, if required, of each item listed thereon are attached.

The undersigned certifies that no item of information contained in this
Information Disclosure Statement was cited in a communication from a foreign patent office
in a counterpart foreign application or, to the knowledge of the undersigned after having
made a reasonable inquiry, was known to any individual having a duty to disclose more than
three months prior to the filing date of this statement.

Respectfully submitted,

f A. Greene

Registration No. 45,756

Caterpillar Inc.

Telephone: (309) 675-1056

JAG:dmc

Enc.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Papearork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANE Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known			
Application Number	09/905,698		
Filing Date	07/10/2001		
First Named Inventor	Richard R. Dickson ET AL.		
Art Unit	2856		
Examiner Name	Nashmiya Saqib Fayyaz		
Attorney Docket Number	00-714		

U.S. PATENT DOCUMENTS					
Examiner Cite Initials* No.1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code ^{2 (if known)}			Figures Appear
		US- 6,021,678	2-8-2000	Vardiman et al.	
		US-			
·····		US-	<u> </u>		
		US-			

	•	FOREIG	N PATENT DOCUM	IENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages	T⁵
	1.0.	Country Code ³ -Number ⁴ -Kind Code ^{5(if known)}	MM-DD-YYYY	Document	or Relevant Figures Appear	+
			 			
						+
						╀
·						+
						-
					1	+

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.